Invalidity Claim Chart in Support of Oberthur's Summary Judgment Motion for Invalidity

<u>U.S. Pat. No. 5,817,207</u>

Reference Key:

Claims

- 1987 Oakwood Series 6 Brochure ("OS6B")
- 1987 Oakwood Sales Brochure ("OSB")
- 1991 Oakwood Series 6 Instruction Manual ("OIM")
- Japanese Patent Application Publication H6-176214 ("JP '21")
- Haghiri Tehrani et al., U.S. Patent No. 4,450,024 (" '024 patent')
- Templeton, Jr. et al., U.S. Patent No. 5,519,201 (" '201 patent")
- Lyszczarz, U.S. Patent No. 4,897,533 (" '533 patent")
- Hida et al., U.S. Patent No. 4,841,134 (" '134 patent")

Application of Prior Art

process for forming a smart

	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")
1. A process for incorporating at least one electronic element in the manufacture of a plastic card, comprising the steps of:	'024 patent	"electronic element" – IC module 5 (Sharinn Ex. 14, '024 patent, col. 3, lines 10-11, Fig. 1; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Series 6 Brochure	"electronic element" – inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see illustration and text under heading "Machine Reading Applications").
	Cumulative JP '214	Cumulative "Japanese Patent '214 taught a

Prior Art

		card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(a) providing first and second plastic core sheets;	'024 patent	"first and second plastic core sheets" – cover films 12, 13 (Sharinn Ex. 14, '024 patent, col. 3, lines 50-53; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS C 045446-58).
(b) positioning said at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a core, said plastic core sheets defining a pair of inner and outer surfaces of said core;	'024 patent	"positioning" – IC module 5 (placed in carrier element 6) is illustrated as being positioned between cover films 12, 13 (Sharinn Ex. 14, '024 patent, Fig. 2a; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
surfaces of said core;	1987 Oakwood Series 6 Brochure	"positioning" – inductive codings are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).

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	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"directly" – inductive codings are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	'024 patent	"core" – cover films 12, 13 and IC module 5 form the "core" (Sharinn Ex. 14, '024 patent, Fig. 2a; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Series 6 Brochure	"core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"a pair of inner and outer surfaces of said core" — outside surface of second opaque plastic layer and outside surface of substrate are illustrated (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(c) positioning said core in a	'024 patent	"positioning said core in a
laminator apparatus, and subjecting said core to a heat		laminator apparatus" – "FIGS. 2a and 2b show the first

and pressure cycle, said heat and pressure cycle comprising the steps of:		embodiment of the invention before and after the laminating process" (Sharinn Ex. 14, '024 patent, col. 3, lines 45-49; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C 045587-92).
	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series 6 Brochure	"heat and pressure cycle" – "[h]eat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(i) heating said core for a first period of time;	'024 patent	"heating said core for a first period of time" – "In the further course of the laminating process the card composite is gradually heated up so that the PVC-layers soften." (Sharinn Ex. 14, '024 patent, col. 3, lines

	1987 Oakwood Sales Brochure	63-65; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92). "heating said core for a first period of time" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram
	Cumulative JP '214	(Sharinn Ex. 11, OSB at 6, see diagram). Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to
(ii) applying a first pressure	'024 patent	laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58). "applying a first pressure for
to said core for a second period of time such that said at least one electronic element is encapsulated by said core;		a second period of time" – "The laminating pressure will thus be increased as a function of the temperature, but on the other hand the carrier element is subjected to the full laminating pressure in the final phase of the laminating
		process, after the card layers have softened. By use of the method of controlling the laminating pressure as a function of the temperature, integrated circuits can be embedded in identification
		cards undangerously, without any need of additional measures." (Sharinn Ex. 14, '024 patent, col. 6, lines 37-46; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Sales	"applying a first pressure for

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	Brochure	a second period of time" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6 see diagram).
	1987 Oakwood Series 6 Brochure	"electronic element is encapsulated by said core" – during lamination inductive codings are enclosed by second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS_C_045446-58).
(iii) cooling said core while applying a second pressure to said core,	6024 patent	"cooling while applying a second pressure" – "In the cold state the carrier element 27 is hardly affected by the pressure of the laminating plate" (Sharinn Ex. 14, '024 patent, col. 5, lines 33-35; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92); "The laminating pressure will thus be increased as a function of the temperature, but on the other hand the carrier element is subjected to the full laminating pressure in the final phase of the laminating process, after the card layers have softened. By use of the method of controlling the laminating pressure as a function of the temperature, integrated circuits

		can be embedded in identification cards undangerously, without any need of additional measures." (Sharinn Ex. 14, '024 patent, col. 6, lines 37-46; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Sales Brochure	"cooling while applying a second pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(d) coating at least one of said outer surfaces of said core with a layer of ink; and		Examiner indicated "Although the reference does not specify the application of a printing layer in the manner recited in the claim, absent any evidence to the contrary, it would have been obvious to one of ordinary skill in the art to apply any layer to those already present in the card during lamination, the application of a printing layer being considered exemplary." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1991 Oakwood Instruction Manual	"coating with a layer of ink" - "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1 ¶ 1)
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn

		Ex. 15, '533 patent, col. 3, lines 60-63).
(e) applying a layer of overlaminate film to at least one of said outer surfaces of said core.	'024 patent	"overlaminate film" – "The compound films used in this example as cover layers are polyester films (PETP) 32 and 40" (Sharinn Ex. 14, '024 patent, col. 5, lines 51-54; Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1987 Oakwood Series 6 Brochure	"overlaminate film" – bottom plastic opaque layer (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative 1991 Oakwood Instruction Manual	Cumulative Sharinn Ex. 12, OIM at 1 ¶ 1 ("Combine some of these components with customized printed core and overlay materials").
	Cumulative JP '214	Cumulative "[T]he references as set forth above suggested the use of multiple films over the chip, for example Japanese Patent '214 suggested the use of multiple films 14 and 15 over the assembly." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).

2. The process for		Examiner indicated "As to the
incorporating at least one		dependent claims regarding the
electronic element in the		various sequential pressures
manufacture of a plastic card		and other process parameters,
as recited in claim 1, wherein		these are considered within the
said laminator apparatus has		purview of one of ordinary skill
first and second laminating		in the art and would depend
plates, at least one of said		upon the type of material being
first and second laminating		laminated." (Sharinn Ex. 23,
plates having a matte finish		Office Action mailed 9/8/97,
for creating a textured		see OCS_C_045587-92).
surface on at least one of said	1007 0 1 10 :	"C" 1 11 ·
outer surfaces of said core.	1987 Oakwood Series	"first and second laminating
	6 Brochure	plates" – "The card sets to be
		laminated are inserted between
		stainless steel laminating plates and inserted into the machine
		on the laminating tray."
		(Sharinn Ex. 10, OS6B at 3).
		(Shariini Ex. 10, OSOB at 3).
	'134 patent	"at least one of said first and
	13 i patent	second laminating plates
		having a matte finish" –
		"[S]tainless steel plates 63a
		subjected to matte working by
		a sand matte were superposed
		thereon to carry out hot
		pressing As a result, a
		sheet for reinforcement 51
		applied with matte working on
		both surfaces of the substrate
		61 was obtained. Matte
		working can be applied on any
		desired surface by replacing the
		above stainless steel plates 63a
		with the desired plate."
		(Sharinn Ex. 16, '134 patent,
		col. 12, lines 19-27).
3. The process for		Examiner indicated "As to the
incorporating at least one		dependent claims regarding the
electronic element in the		various sequential pressures
manufacture of a plastic card		and other process parameters,
as recited in claim 2, wherein		these are considered within the
each of said first and second		purview of one of ordinary skill
laminating plates has a matte		in the art and would depend
finish for creating said		upon the type of material being

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textured surface on both of said outer surfaces of said core.		laminated." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	'134 patent	"each of said first and second laminating plates has a matte finish" – "[S]tainless steel plates 63a subjected to matte working by a sand matte were superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
4. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said first and second plastic core sheets are made from a material selected from the group consisting of polyvinyl chloride, polyester, and acrylonitrile-butadienestyrene, each of said sheets having a thickness in the range of 0.007 to 0.024 inch.	1987 Oakwood Series 6 Brochure	Examiner indicated "As to the recitations in the dependent claims regarding various types of materials, these are considered within the purview of one of ordinary skill in the art." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92). "polyvinyl chloride" – second opaque plastic layer and substrate beneath inductive codings are made of plastic (P.V.C.) (Sharinn Ex. 10, OS6B at 3, 4, see illustration).
	'533 patent	"thickness in the range of 0.007 to 0.024 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent,

7. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 6, wherein said second pressure is at least approximately 25% greater than said first pressure.	1987 Oakwood Sales Brochure	Examiner indicated "As to the dependent claims regarding the various sequential pressures and other process parameters, these are considered within the purview of one of ordinary skill in the art and would depend upon the type of material being laminated." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92). "said second pressure is at least approximately 25% greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, and diagram)
8. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said core is heated in step (c)(i) to a temperature in the range of 275.degree. F. to 400.degree. F. and said first period of time is at least five (5) minutes.		at 6, see diagram). Examiner indicated "As to the dependent claims regarding the various sequential pressures and other process parameters, these are considered within the purview of one of ordinary skill in the art and would depend upon the type of material being laminated." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92).
	1991 Oakwood Instruction Manual	"temperature in the range of 275.degree. F. to 400.degree. F." – unpatentable modification of prior art temperatures ("LAMINATING TEMPERATURE 90 – 200 DEGREES C" (Sharinn Ex. 12, OIM at 6, ¶ 3.3B)).
	Cumulative '533 patent	Cumulative "the application of heat at 265 platen temperature" (Sharinn Ex. 15, '533 patent, col. 4, line 33).

	1987 Oakwood Sales Brochure	"said first period of time is at least five (5) minutes" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram and horizontal axis of diagram indicating time in minutes ("Mins") (Sharinn Ex. 11, OSB at 6, see diagram).
11. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said step (d) is carried out utilizing a coating technique selected form the group consisting of silk screen printing, offset printing, letterpress printing, screen printing, roller coating, spray printing, and litho-printing.	1991 Oakwood	Examiner indicated "As to the dependent claims regarding the various sequential pressures and other process parameters, these are considered within the purview of one of ordinary skill in the art and would depend upon the type of material being laminated." (Sharinn Ex. 23, Office Action mailed 9/8/97, see OCS_C_045587-92). "coating technique selected
	Instruction Manual	from the group consisting of" – "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1, ¶ 1).
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
13. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic	1987 Oakwood Series 6 Brochure	"micro-chip and an associated wire antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
element is a micro-chip and an associated wire antenna.	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.

	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F.
14. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic	1987 Oakwood Series 6 Brochure	"micro-chip and an associated circuit board antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
element is a micro-chip and an associated circuit board antenna.	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F.
15. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic element is a read/write	1987 Oakwood Series 6 Brochure	"read/write integrated chip and an associated antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
integrated chip and an associated antenna.	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F and col. 1, lines 50-51 ("Smart Cards are used with a reader/writer that includes an interface ('external interface') that is used to transmit information to or from the Smart Card.").
16. A hot lamination process for the manufacture of plastic cards, said process comprising the steps of:	1987 Oakwood Sales Brochure	"A hot lamination process for the manufacture of plastic cards" – "Oakwood has developed a unique lamination

		cycle for the highest quality bank and credit card manufacturing producing a well laminated structure The temperature of all platens is controlled individually to provide uniform heating throughout the press." (Sharinn Ex. 11, OSB at 6).
	Cumulative JP '214	Cumulative "Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(a) providing first and second plastic core sheets;	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(b) positioning at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a layered core;	1987 Oakwood Series 6 Brochure	"positioning" – inductive coils are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"electronic element" – inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see

		illustration and text under heading "Machine Reading Applications").
	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"directly" – inductive coils are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"layered core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle, said heat and pressure cycle comprising the steps of:	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series	"heat and pressure cycle" –

	6 Brochure	"heat and pressure are applied"
		to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(i) heating said core in said laminator, in the presence of a minimal first ram pressure, to a temperature which causes controlled flow of said plastic which makes up said	1987 Oakwood Sales Brochure	"heating said core" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
first and second plastic core sheets;	1987 Oakwood Sales Brochure	"minimal first ram pressure" – Sharinn Ex. 11, OSB at 6, see initial "P.V.C. Press." ramp up in illustration.
	Cumulative 1991 Oakwood Instruction Manual	Cumulative Sharinn Ex. 12, OIM at 6 ("Low pressure is applied to the material during the heating stage to achieve lamination.").
	1991 Oakwood Instruction Manual	"controlled flow of said plastic" – "Actual lamination will take place when the material has reached a molten stage at very low pressures." (Sharinn Ex. 12, OIM at 6).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214;

		Sharinn Ex. 7, Office Action mailed 12/6/00, <u>see</u> OCS_C_045446-58).
(ii) applying a second pressure uniformly across said core for encapsulating said at least one electronic element within said controlled flow plastic;	1987 Oakwood Sales Brochure	"applying a second pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	1987 Oakwood Sales Brochure	"uniformly across said core" – "Precise, uniform pressure distribution over the whole platan eliminating pressure losses at the edges and corners." (Sharinn Ex. 11, OSB at 1).
	1987 Oakwood Series 6 Brochure	"encapsulating said at least one electronic element" - during lamination inductive codings are enclosed by second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS_C_045446-58).
(iii) subsequently cooling said core in conjunction with the concurrent application of a third pressure uniformly across said core, said core including and upper and lower surfaces;	1987 Oakwood Sales Brochure	"cooling in conjunction with the concurrent application of a third pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	1987 Oakwood Sales	"uniformly across said core" –

	Brochure	"Precise, uniform pressure distribution over the whole platen eliminating pressure losses at the edges and corners." (Sharinn Ex. 11, OSB at 1).
(d) printing on at least one of said upper and lower surfaces of said core such that a layer of ink is applied to at least a portion of said at least one upper and lower surface of said core.	1991 Oakwood Instruction Manual	"printing on at least one of said upper and lower surfaces of said core" – "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1 ¶ 1).
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
17. The method as recited in claim 16 wherein said first and second core layers are devoid of any appreciable cutouts.	1987 Oakwood Series 6 Brochure	"first and second core layers are devoid of any appreciable cutouts" – second opaque plastic layer and substrate beneath the inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative Plastic films 14 are devoid of any appreciable cutouts. (Sharinn Ex. 6 and Ex. 24, JP '214, Figs. 1-4).

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U.S. Pat. No. 6,036,099

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Claims

- 1987 Oakwood Series 6 Brochure ("OS6B")
- 1987 Oakwood Sales Brochure ("OSB")
- 1991 Oakwood Series 6 Instruction Manual ("OIM")
- Japanese Patent Application Publication H6-176214 ("JP '214")
- Templeton, Jr. et al., U.S. Patent No. 5,519,201 (" '201 patent")
- Lyszczarz, U.S. Patent No. 4,897,533 ("'533 patent")
- Haghiri Tehrani et al., U.S. Patent No. 4,450,024 (" '024 patent')

Application of Prior Art

- Hida et al., U.S. Pat. No. 4,841,134 (" '134 patent")
- Mundigl et al., U.S. Pat. No. 5,809,633 (" '633 patent")

Ciamis	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")
1. A process for incorporating at least one electronic element in the manufacture of a plastic card, comprising the steps of:	'024 patent	"electronic element" – IC module 5 (Sharinn Ex. 14, '024 patent, col. 3, lines 10-11, Fig. 1; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).

1987 Oakwood Series

Prior Art

inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see illustration and text under heading "Machine Reading Applications").

"electronic element" -

Cumulative Cumulative

	TD (04.4	
	JP '214	"Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS C 045446-58).
(a) providing first and second plastic core sheets;	'024 patent	"first and second plastic core sheets" – cover films 12, 13 (Sharinn Ex. 14, '024 patent, col. 3, lines 50-53; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(b) positioning said at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a core, said plastic core sheets defining a pair of inner and outer surfaces of said core;	'024 patent	"positioning" – IC module 5 (placed in carrier element 6) is illustrated as being positioned between cover films 12, 13 (Sharinn Ex. 14, '024 patent, Fig. 2a; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	1987 Oakwood Series 6 Brochure	"positioning" – inductive codings are illustrated as being positioned between second

	,
	opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
1987 Oakwood Series 6 Brochure	"directly" – inductive codings are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
'024 patent	"core" – cover films 12, 13 and IC module 5 form the "core" (Sharinn Ex. 14, '024 patent, Fig. 2a; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
1987 Oakwood Series 6 Brochure	"core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
1987 Oakwood Series 6 Brochure	"a pair of inner and outer surfaces of said core" – outside surface of second opaque plastic layer and outside surface of substrate are illustrated (Sharinn Ex. 10, OS6B at 4, see illustration).
Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see

		OCC C OAFAAC FO
(a) positioning said come in a	6024 notant	OCS_C_045446-58).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle; said heat and pressure cycle comprising the steps of:	'024 patent	"positioning said core in a laminator apparatus" – "FIGS. 2a and 2b show the first embodiment of the invention before and after the laminating process (Sharinn Ex. 14, '024 patent, col. 3, lines 45-49; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series 6 Brochure	"heat and pressure cycle" – "[h]eat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see
		OCS C 045446-58).

		further course of the laminating process the card composite is gradually heated up so that the PVC-layers soften." (Sharinn Ex. 14, '024 patent, col. 3, lines 63-65; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	1987 Oakwood Sales Brochure	"heating said core for a first period of time" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS C 045446-58).
(ii) applying a first pressure to said core for a second period of time such that said at least one electronic element is encapsulated by said core;	'024 patent	"applying a first pressure for a second period of time" — "The laminating pressure will thus be increased as a function of the temperature, but on the other hand the carrier element is subjected to the full laminating pressure in the final phase of the laminating process, after the card layers have softened. By use of the method of controlling the laminating pressure as a function of the temperature, integrated circuits can be embedded in identification cards undangerously, without any need of additional measures." (Sharinn Ex. 14,

	1987 Oakwood Sales Brochure	'024 patent, col. 6, lines 37-46; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "applying a first pressure for a second period of time" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS_C_045446-58).
(iii) cooling said core while applying a second pressure to said core;	°024 patent	"cooling while applying a second pressure" – "In the cold state the carrier element 27 is hardly affected by the pressure of the laminating plate" (Sharinn Ex. 14, '024 patent, col. 5, lines 33-35); "The laminating pressure will thus be increased as a function of the temperature, but on the other hand the carrier element is subjected to the full laminating pressure in the final phase of the laminating process, after the card layers have softened. By use of the method of controlling the laminating pressure as a function of the temperature, integrated circuits can be embedded in identification cards undangerously, without any need of additional

	1987 Oakwood Sales Brochure	measures." (Sharinn Ex. 14, '024 patent, col. 6, lines 37-46; see also col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "cooling while applying a second pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(d) coating at least one of said outer surfaces of said core with a layer of ink;	'024 patent	"coating with a layer of ink" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	1991 Oakwood Instruction Manual	"coating with a layer of ink" - "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1 ¶ 1).
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
(e) milling a region of said core to a controlled depth so as to form a cavity which exposes at least one contact pad of said electronic element.	°024 patent	"milling a region of said core to a controlled depth to form a cavity which exposes one contact pad of one electronic device" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).

the d '201 30); and d form . mil '201 16).	ective electrical contacts of levices." (Sharinn Ex. 13, patent, col. 2, lines 27- "The contact holes 203b cavity hole 203a can be led by, for instance, ling." (Sharinn Ex. 13, patent, col. 7, lines 10-
incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said laminator apparatus has	east one of said first and nd laminating plates ng a matte finish" – rinn Ex. 16, '134 patent, 5, lines 6-13; Sharinn Ex. Office Action mailed 8/98, see OCS_C_045670-80).
plates having a matte finish for creating a textured surface on at least one of said outer surfaces of said core. "[S]t subjet a san there applies both 61 work desired above with (Shacol.)"	east one of said first and nd laminating plates ng a matte finish" — tainless steel plates 63a ected to matte working by nd matte were superposed eon to carry out hot sing As a result, a t for reinforcement 51 ied with matte working on surfaces of the substrate was obtained. Matte king can be applied on any red surface by replacing the re stainless steel plates 63a the desired plate." rinn Ex. 16, '134 patent, 12, lines 19-27). h of said first and second

incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 2, wherein each of said first and second laminating plates has a matte finish for creating said textured surface on both of said outer surfaces of said	'134 patent	laminating plates has a matte finish" – (Sharinn Ex. 16, '134 patent, col. 5, lines 6-13; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "each of said first and second laminating plates has a matte
core.		finish" – "[S]tainless steel plates 63a subjected to matte working by a sand matte were superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
4. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said first and second plastic	'024 patent	"polyvinyl chloride" – ('024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
core sheets are made from a material selected from the group consisting of polyvinyl chloride, polyester, and acrylonitrile-butadienestyrene, each of said sheets having a thickness in the	1987 Oakwood Series 6 Brochure	"polyvinyl chloride" – second opaque plastic layer and substrate beneath inductive codings are made of plastic (P.V.C.) (Sharinn Ex. 10, OS6B at 3, 4, see illustration").
range of 0.007 to 0.024 inch.	'533 patent	"thickness in the range of 0.007 to 0.024 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col.4, lines 12-21)).

5. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 5, wherein said first and second plastic core sheets have a thickness of approximately 0.0125 inch.	'024 patent '533 patent	"a thickness of approximately 0.0125 inch" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "a thickness of approximately
		0.0125 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col.4, lines 12-21)).
6. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said second pressure is greater than said first pressure.	'024 patent	"said second pressure is greater than said first pressure" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670- 45680).
pressure.	1987 Oakwood Sales Brochure	"said second pressure is greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
8. A hot lamination process as recited in claim 1 having a further step following step (d), said step comprising: positioning said core in a laminator apparatus with a layer of overlaminate film on at least one of said upper and lower surfaces of said core and laminating said layer of overlaminate film to said core in said laminator to thereby form a sheet of plastic card	'024 patent 1987 Oakwood Series 6 Brochure	"overlaminate film" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "overlaminate film" – second opaque plastic layer, inductive codings, substrate and bottom plastic opaque layer can be positioned in the Series 6 laminator (Sharinn Ex. 10, OS6B at 3, 4, see illustration).
stock.	Cumulative 1991 Oakwood	Cumulative Sharinn Ex. 12, OIM at 1 ¶ 1

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	Instruction Manual	("Combine some of these components with customized printed core and overlay materials").
	Cumulative JP '214	Cumulative "[T]he references as set forth above suggested the use of multiple films over the chip, for example Japanese Patent '214 suggested the use of multiple films 14 and 15 over the assembly." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446- 58).
9. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said core is heated in step (c)(i) to a temperature in the range of 275.degree. F. to 400.degree. F. and said first period of time is at least five	'024 patent 1991 Oakwood Instruction Manual	"temperature in the range of 275.degree. F. to 400.degree. F." – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680). "temperature in the range of 275.degree. F. to 400.degree.
(5) minutes.		F." – unpatentable modification of prior art temperatures ("LAMINATING TEMPERATURE 90 – 200 DEGREES C" (Sharinn Ex. 12, OIM at 6, ¶ 3.3B).
	Cumulative '533 patent	Cumulative "the application of heat at 265 platen temperature" (Sharinn Ex. 15, '533 patent, col. 4, line 33).
	1987 Oakwood Sales Brochure	"said first period of time is at least five (5) minutes" - "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram and horizontal axis of diagram indicating time in

		minutes ("Mins") (Sharinn Ex.
		11, OSB at 6, see diagram).
12. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said step (d) is carried out utilizing a coating technique selected form the group	'024 patent	"coating technique selected from the group consisting of " – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
consisting of silk screen printing, offset printing, letterpress printing, screen printing, roller coating, spray printing and litho-printing.	1991 Oakwood Instruction Manual	"coating technique selected from the group consisting of" – "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1, ¶ 1).
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
14. A hot lamination process is recited in claim 1 comprising the further step of inserting an electronic contact element into said cavity.	'024 patent	"inserting an electronic contact element into said cavity" – (Sharinn Ex. 14, '024 patent, col. 5, lines 7-47; Sharinn Ex. 22, Office Action mailed 11/18/98, see OCS_C_045670-45680).
	'201 patent	"inserting an electronic contact element into said cavity" – "electrically conductive plugs 205 inserted into contact holes 203b" (Sharinn Ex. 13, '201 patent, col. 7, lines 45-59, and Figs. 2J, 2K and 2L, items 203b and 205).

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15. The process for incorporating at least one	633 patent	"micro-chip and an associated circuit board antenna or an
electronic element in the		associated wire antenna" –
manufacture of a plastic card		carrier module 1 (Sharinn Ex.
as recited in claim 1, wherein		17, '633 patent, col. 2, lines 36-
said at least one electronic		62; Sharinn Ex. 22, Office
element is a micro-chip and		Action mailed 11/18/98, see
an associated circuit board		OCS_C_045670-45680).
antenna or an associated		
wire antenna.	1987 Oakwood Series	"micro-chip and an associated
	6 Brochure	circuit board antenna or an
		associated wire antenna" –
		Sharinn Ex. 10, OS6B at 4, see
		text under heading "Machine
		Reading Applications".
	Cumulative	<u>Cumulative</u>
	JP '214	Sharinn Ex. 6 and Ex. 24, JP
		'214, reference numerals 11
		and 12, Figs. 1-3.
	Cumulative	Cumulative
	'201 patent	Sharinn Ex. 13, '201 patent,
		reference numerals 201 and
		202, Figs. 2A-2F.
16. The process for	633 patent	"read/write integrated chip and
incorporating at least one		an associated antenna" – carrier
electronic element in the		module 1 (Sharinn Ex. 17, '633
manufacture of a plastic card as recited in claim 1, wherein		patent, col. 2, lines 36-62; Sharinn Ex. 22, Office Action
said at least one electronic		mailed 11/18/98, see
element is a read/write		OCS_C_045670-45680).
integrated chip and an		<u>005_0_015070 15000).</u>
associated antenna.	1987 Oakwood Series	"read/write integrated chip and
	6 Brochure	an associated antenna" –
		Sharinn Ex. 10, OS6B at 4, see
		text under heading "Machine
		Reading Applications".
	<u>Cumulative</u>	<u>Cumulative</u>
	JP '214	Sharinn Ex. 6 and Ex. 24, JP
		'214, reference numerals 11
		and 12, Figs. 1-3.
	<u>Cumulative</u>	Cumulative
	'201 patent	Sharinn Ex. 13, '201 patent,

reference numerals 201 and
202, Figs. 2A-2F and col. 1,
lines 50-51 ("Smart Cards are
used with a reader/writer that
includes an interface ('external
interface') that is used to
transmit information to or from
the Smart Card.").

Invalidity Claim Chart in Support of Oberthur's Summary Judgment Motion for Invalidity

<u>U.S. Pat. No. 6,214,155</u>

Reference Key:

- 1987 Oakwood Series 6 Brochure ("OS6B")
- 1987 Oakwood Sales Brochure ("OSB")
- 1991 Oakwood Series 6 Instruction Manual ("OIM")
- Japanese Patent Application Publication H6-176214 ("JP '214")
- Templeton, Jr. et al., U.S. Patent No. 5,519,201 (" '201 patent")
- Lyszczarz, U.S. Patent No. 4,897,533 ("'533 patent")
- Hida et al., U.S. Patent No. 4,841,134 ("'134 patent")

<u>Claims</u>	Prior Art	Application of Prior Art
1. A process for incorporating at least one electronic element in the manufacture of a plastic card, comprising the steps of:	1987 Oakwood Series 6 Brochure	"electronic element" – inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see illustration and text under heading "Machine Reading Applications").
	Cumulative JP '214	Cumulative "Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(a) providing first and second plastic core sheets;	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative	<u>Cumulative</u>

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	JP '214	"The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(b) positioning said at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a core, said plastic core sheets defining a	1987 Oakwood Series 6 Brochure	"positioning" – inductive codings are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
pair of inner and outer surfaces of said core;	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"directly" – inductive codings are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"a pair of inner and outer surfaces of said core" – outside surface of second opaque plastic layer and outside surface of substrate are illustrated (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 Ex. 24, JP '214;

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		Sharinn Ex. 7, Office Action
		mailed 12/6/00, see
	1005 0 1 10 1	OCS_C_045446-58).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle, said heat and pressure cycle comprising the steps of:	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6
		Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series 6 Brochure	"heat and pressure cycle" – "[h]eat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(i) heating said core for a first period of time;	1987 Oakwood Sales Brochure	"heating said core for a first period of time" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to

(ii) applying a first pressure to said core for a second	1987 Oakwood Sales Brochure	form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58). "applying a first pressure for a second period of time" –
period of time such that said at least one electronic element is encapsulated by said core;	Brochure	"P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	1987 Oakwood Series 6 Brochure	"electronic element is encapsulated by said core" – during lamination inductive codings are enclosed by second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS_C_045446-58).
(iii) cooling said core while applying a second pressure to said core,	1987 Oakwood Sales Brochure	"cooling while applying a second pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(d) applying a layer of overlaminate film to at least one of said outer surfaces of said core.	1987 Oakwood Series 6 Brochure	"overlaminate film" – bottom plastic opaque layer (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative 1991 Oakwood Instruction Manual	Cumulative Sharinn Ex. 12, OIM at 1 ¶ 1 ("Combine some of these components with customized

	Cumulative JP '214	printed core and overlay materials"). Cumulative "[T]he references as set forth above suggested the use of multiple films over the chip, for example Japanese Patent '214 suggested the use of multiple films 14 and 15 over the assembly." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
2. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said laminator apparatus has first and second laminating plates, at least one of said first and second laminating plates having a matte finish for creating a textured surface on at least one of said outer surfaces of said core.	1987 Oakwood Series 6 Brochure '134 patent	"first and second laminating plates" – "The card sets to be laminated are inserted between stainless steel laminating plates and inserted into the machine on the laminating tray." (Sharinn Ex. 12, OS6B at 3). "at least one of said first and second laminating plates having a matte finish" – "[S]tainless steel plates 63a subjected to matte working by a sand matte were superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
3. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 2, wherein	'134 patent	"each of said first and second laminating plates has a matte finish" – "[S]tainless steel plates 63a subjected to matte working by a sand matte were

each of said first and second laminating plates has a matte finish for creating said textured surface on both of said outer surfaces of said core.		superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
4. The process for	1987 Oakwood Series	"polyvinyl chloride" – second
incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said first and second plastic core sheets are made from a	6 Brochure	opaque plastic layer and substrate beneath inductive codings are made of plastic (P.V.C.) (Sharinn Ex. 10, OS6B at 3, 4, see illustration).
material selected from the group consisting of polyvinyl chloride, polyester, and acrylonitrile-butadienestyrene, each of said sheets having a thickness in the range of 0.007 to 0.024 inch.	'533 patent	"thickness in the range of 0.007 to 0.024 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col. 4, lines 12-21)).
5. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 4, wherein said first and second plastic core sheets have a thickness of approximately 0.0125 inch.	'533 patent	"a thickness of approximately 0.0125 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col. 4, lines 12-21)).
6. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said second pressure is greater than said first pressure.	1987 Oakwood Sales Brochure	"said second pressure is greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).

7. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 6, wherein said second pressure is at least approximately 25% greater than said first pressure.	1987 Oakwood Sales Brochure	"said second pressure is at least approximately 25% greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
8. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said core is heated in step (c)(i) to a temperature in the range of 275.degree. F. to 400.degree. F. and said first	1991 Oakwood Instruction Manual	"temperature in the range of 275.degree. F. to 400.degree. F." – unpatentable modification of prior art temperatures ("LAMINATING TEMPERATURE 90 – 200 DEGREES C" (Sharinn Ex. 12,OIM at 6, ¶ 3.3B)).
period of time is at least five (5) minutes.	Cumulative '533 patent	Cumulative "the application of heat at 265 platen temperature" (Sharinn Ex. 15, '533 patent, col. 4, line 33).
	1987 Oakwood Sales Brochure	"P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram and horizontal axis of diagram indicating time in minutes ("Mins") (Sharinn Ex. 11, OSB at 6, see diagram).
11. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic	1987 Oakwood Series 6 Brochure	"micro-chip and an associated wire antenna" – Sharinn Ex. 10,0S6B at 4, see text under heading "Machine Reading Applications".
element is a micro-chip and an associated wire antenna.	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F.
12. The process for	1987 Oakwood Series	"micro-chip and an associated

incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic element is a micro-chip and	6 Brochure <u>Cumulative</u>	circuit board antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications". Cumulative
an associated circuit board antenna.	JP '214	Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F.
13. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic	1987 Oakwood Series 6 Brochure	"read/write integrated chip and an associated antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
element is a read/write integrated chip and an associated antenna.	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F and col. 1, lines 50-51 ("Smart Cards are used with a reader/writer that includes an interface ('external interface') that is used to transmit information to or from the Smart Card.").
14. A plastic card constructed in accordance with claim 1.	1987 Oakwood Series 6 Brochure	"plastic card" – card set illustrated in OS6B on p. 4. (Sharinn Ex. 10).
	Cumulative JP '214	Cumulative Card illustrated in figures of JP '214 (Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-4).
15. A hot lamination process	1987 Oakwood Sales	"A hot lamination process for
for the manufacture of plastic	Brochure	the manufacture of plastic

cards, said process comprising the steps of:		cards" – "Oakwood has developed a unique lamination cycle for the highest quality bank and credit card manufacturing producing a well laminated structure The temperature of all platens is controlled individually to provide uniform heating throughout the press." (Sharinn Ex. 11, OSB at 6).
	Cumulative JP '214	Cumulative "Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(a) providing first and second plastic core sheets;	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(b) positioning at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a layered core;	1987 Oakwood Series 6 Brochure	"positioning" – inductive coils are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series	"electronic element" –

	6 Brochure	inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see illustration and text under heading "Machine Reading Applications").
	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"directly" – inductive coils are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"layered core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS C 045446-58).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle, said heat and pressure cycle comprising the steps of:	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).

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	1987 Oakwood Series 6 Brochure	"heat and pressure cycle" – "heat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
(i) heating said core in said laminator, in the presence of a minimal first ram pressure, to a temperature which causes controlled flow of said plastic which makes up said	1987 Oakwood Sales Brochure	"heating said core" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
first and second plastic core sheets;	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Sales Brochure	"minimal first ram pressure" – Sharinn Ex. 11, OSB at 6, see initial "P.V.C. Press." ramp up in illustration.
	Cumulative 1991 Oakwood Instruction Manual	Cumulative Sharinn Ex. 12, OIM at 6 ("Low pressure is applied to the material during the heating stage to achieve lamination.").
		"controlled flow of said

(ii) applying a second pressure uniformly across said core for encapsulating said at least one electronic element within said	1987 Oakwood Sales Brochure	plastic" – "Actual lamination will take place when the material has reached a molten stage at very low pressures." (Sharinn Ex. 12, OIM at 6). "applying a second pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
controlled flow plastic;	1987 Oakwood Sales Brochure	"uniformly across said core" – "Precise, uniform pressure distribution over the whole platan eliminating pressure losses at the edges and corners." (Sharinn Ex. 11, OSB at 1).
	1987 Oakwood Series 6 Brochure	"encapsulating said at least one electronic element" - during lamination inductive codings are enclosed by second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS C 045446-58).
(iii) subsequently cooling said core in conjunction with the concurrent application of a third pressure uniformly across said core, said core including and upper and lower surfaces.	1987 Oakwood Sales Brochure	"cooling in conjunction with the concurrent application of a third pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).

	1987 Oakwood Sales Brochure	"uniformly across said core" – "Precise, uniform pressure distribution over the whole platen eliminating pressure losses at the edges and corners." (Sharinn Ex. 11, OSB at 1).
16. The method as recited in claim 15 wherein said first and second core layers are devoid of any appreciable cutouts.	1987 Oakwood Series 6 Brochure	"first and second core layers are devoid of any appreciable cutouts" – second opaque plastic layer and substrate beneath the inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
	Cumulative JP '214	Cumulative Plastic films 14 are devoid of any appreciable cutouts. (Sharinn Ex. 6 and Ex. 24, JP '214, Figs. 1-4).

Invalidity Claim Chart in Support of Oberthur's Summary Judgment Motion for Invalidity

<u>U.S. Pat. No. 6,514,367</u>

Reference Key:

- 1987 Oakwood Series 6 Brochure ("OS6B")
- 1987 Oakwood Sales Brochure ("OSB")
- 1991 Oakwood Series 6 Instruction Manual ("OIM")
- Japanese Patent Application Publication H6-176214 ("JP '214")
- Templeton, Jr. et al., U.S. Patent No. 5,519,201 (" '201 patent")
- Lyszczarz, U.S. Patent No. 4,897,533 (" '533 patent")
- Hida et al., U.S. Patent No. 4,841,134 (" '134 patent")
- UK 2,279,610 ("UK '610")
- UK 2,294,899 ("UK '899")
- UK 2,225,283 ("UK '283")

<u>Claims</u>	Prior Art	Application of Prior Art
	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")	(prior art relied on by the Examiner is highlighted in yellow) (prior art providing duplicative teachings is labeled "cumulative")

1. A process for incorporating at least one electronic element in the manufacture of a plastic card, comprising the steps of	JP '214	"electronic element" – "Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)."
	1987 Oakwood Series 6 Brochure	(Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58). "electronic element" — inductive codings or microchip (Sharinn Ex. 10, OS6B at 4,

		see illustration and text under heading "Machine Reading Applications").
(a) providing first and second plastic core sheets:	JP '214	"first and second plastic core sheets" – "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
(b) positioning said at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a core, said plastic core sheets defining a pair of inner and outer	JP '214	"positioning" – "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
surfaces of said core;	1987 Oakwood Series 6 Brochure	"positioning" – inductive codings are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"directly" – inductive codings are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).

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	1987 Oakwood Series 6 Brochure	"core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"a pair of inner and outer surfaces of said core" – outside surface of second opaque plastic layer and outside surface of substrate are illustrated (Sharinn Ex. 10, OS6B at 4, see illustration).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle, said heat and pressure cycle comprising the steps of:	JP '214	"positioning said core in a laminator apparatus" – "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series 6 Brochure	"heat and pressure cycle" – "[h]eat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
(i) heating said core for a first	JP '214	"heating said core for a first

period of time;		period of time" – "The assembly was disposed in a
		press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Sales Brochure	"heating said core for a first period of time" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(ii) applying a first pressure to said core for a second period of time such that said at least one electronic element is encapsulated by said core;	JP '214	"applying a first pressure for a second period of time" – "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7,Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Sales Brochure	"applying a first pressure for a second period of time" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(iii) cooling said core while applying a second pressure to said core, the second pressure being at least 10% greater than the first pressure; and	UK '610 UK '283	"cooling while applying a second pressure" – "Subsequent to the application of this heat and pressure, the pressure was maintained while the card was allowed to cool in the press, see page 11, line 16-p. 12, line 12. The reference made clear that in order to avoid damaging the integrated circuit which was being

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encapsulated that one would have heated the assembly, then applied heat and pressure to the assembly in the press and then cooled the assembly while pressure was maintained. Clearly, one viewing the same would have understood the heat and pressure as well as cooling under pressure would have been performed when laminating the card with the integrated circuit therein." (Sharinn Ex. 18, UK '610; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91); "While it is believed that the reference to UK '610 suggested that one would have ramped up the pressure during the laminating operation, to further evidence that the highest amount of pressure would have been applied when the assembly was cooled, the reference to UK '283 is cited. UK '283 is manufacturing an integrated circuit card where the assembled layers (which included thin plastic layers which had printing on the layers as well as in integrated circuit therein) were laminated together in a press. The reference taught that the press would have been preheated, the pressure applied and then the assembly removed or the assembly would have been preheated and the pressure applied in steps with the highest pressure applied while the assembly was being cooled in the press, see page 11, lines 3-13." (Sharrin Ex. 20, UK

	1987 Oakwood Sales Brochure	"cooling while applying a second pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	1987 Oakwood Sales Brochure	"said second pressure being at least 10% greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(d) milling a region of said core to a controlled depth so as to form a cavity which exposes at least one contact pad of said at least one electronic device.	*201 patent	"milling a region of said core to a controlled depth to form a cavity which exposes one contact pad of one electronic device" – "[A]n inductive coil 201 was formed upon a plastic substrate 202 of PVC for example. Onto the substrate 202 one laminated a second substrate 203 which covered and encapsulated the coil 201. The reference taught subsequent to the lamination operation one milled out the contact holes 203b through the substrate in locations where contact pads 201a of the inductive coil are in order to facilitate electrical contact with the inductive coil which was embedded within the plastic sheets. See column 7, lines 6-17." (Sharinn Ex. 13, '201 patent; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).

'201 patent	"milling a region of said core
	to a controlled depth to
	form a cavity which exposes
	. one contact pad of one
	electronic device" – "electrical
	interconnection has been made
	by forming holes through the
	main body of the card, the
	holes extending between the
	respective electrical contacts of
	the devices." (Sharinn Ex. 13,
	'201 patent, col. 2, lines 27-
	30); "The contact holes 203b
	and cavity hole 203a can be
	formed by, for instance,
	. milling." (Sharinn Ex. 13,
	'201 patent, col. 7, lines 10-
	16).

2. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said laminator apparatus has first and second laminating plates, at least one of said first and second laminating plates having a matte finish for creating a textured surface on at least one of said outer surfaces of said core.	'134 patent	"at least one of said first and second laminating plates having a matte finish" – "[I]n the art of manufacturing a smart card where an integrated circuit was disposed within the card, it was known at the time the invention was made to provide the exterior of the card with a matte finish thereon in order to reduce the spectral reflection as suggested by UK '899, see page 4, lines 4-6." (Sharinn Ex. 19, UK '899; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58). "at least one of said first and second laminating plates having a matte finish" –
		"[S]tainless steel plates 63a subjected to matte working by a sand matte were superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
3. The process for	UK '899	"each of said first and second
incorporating at least one	OIX 0//	laminating plates has a matte
electronic element in the		finish" –"[I]n the art of
manufacture of a plastic card		manufacturing a smart card
as recited in claim 2, wherein		where an integrated circuit was
each of said first and second		disposed within the card, it was
laminating plates has a matte		known at the time the invention
finish for creating said		was made to provide the
textured surface on both of		exterior of the card with a
said outer surfaces of said		matte finish thereon in order to

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core.		reduce the spectral reflection as suggested by UK '899, see page 4, lines 4-6." (Sharinn Ex. 19, UK '899; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	'134 patent	"each of said first and second laminating plates has a matte finish" – "[S]tainless steel plates 63a subjected to matte working by a sand matte were superposed thereon to carry out hot pressing As a result, a sheet for reinforcement 51 applied with matte working on both surfaces of the substrate 61 was obtained. Matte working can be applied on any desired surface by replacing the above stainless steel plates 63a with the desired plate." (Sharinn Ex. 16, '134 patent, col. 12, lines 19-27).
4. The process for incorporating at least one electronic element in the		"polyvinyl chloride" – "[T]he references as set forth above suggested the use of PVC
manufacture of a plastic card as recited in claim 1, wherein		and/or polyester materials and one skilled in the art would
said first and second plastic core sheets are made from a material selected from the		have determined the suitable thickness for the material through routine
group consisting of polyvinyl chloride, polyester, and acrylonitrile-butadiene- styrene, each of said sheets having a thickness in the		experimentation." (Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
range of 0.007 to 0.024 inch.	1987 Oakwood Series 6 Brochure	"polyvinyl chloride" – second opaque plastic layer and substrate beneath inductive codings are made of plastic (P.V.C.) (Sharinn Ex. 10, OS6B at 3, 4, see illustration).
	'533 patent	"thickness in the range of 0.007 to 0.024 inch" –

		unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col.4, lines 12-21)).
5. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 4, wherein said first and second plastic core sheets have a thickness of approximately 0.0125 inch.		"a thickness of approximately 0.0125 inch" – "[T]he references as set forth above suggested the use of PVC and/or polyester materials and one skilled in the art would have determined the suitable thickness for the material through routine experimentation." (Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS C 045446-58).
	'533 patent	"a thickness of approximately 0.0125 inch" – unpatentable modification of prior art dimensions ("The plastic substrate 2 of the card is preferably PVC, with a thickness of 0.0265 inch." (Sharinn Ex. 15, '533 patent, col.4, lines 12-21)).

7. A process as recited in claim 1 having a further step following step(c), said step comprising: positioning a layer of overlaminate film on at least one of said surfaces of said core, positioning said overlaminate film and said core in a laminator apparatus and laminating said layer of overlaminate film to said core	JP '214	"overlaminate film" – "[T]he references as set forth above suggested the use of multiple films over the chip, for example Japanese Patent '214 suggested the use of multiple films 14 and 15 over the assembly." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
in said laminator to thereby form a sheet of plastic card stock.	1987 Oakwood Series 6 Brochure	"overlaminate film" – second opaque plastic layer, inductive codings, substrate and bottom plastic opaque layer can be positioned in the Series 6 laminator (Sharinn Ex. 10, OS6B at 3, 4, see illustration).
	Cumulative 1991 Oakwood Instruction Manual	Cumulative OIM at 1 ¶ 1 ("Combine some of these components with customized printed core and overlay materials").
8. The process of claim 7, further comprising the step of coating said at least one surface of said core with a layer of ink prior to positioning said overlaminate film on said at least one surface of said core.	UK '899	"coating with a layer of ink" —"[I]t was well known at the time the invention was made to provide printed information upon the same where the printed information would have been provided upon the layers prior to the pressing operation as in printed information 8 and additional information would have been printed upon the cards exterior after formation as in image 10 [in UK '899, see page 4, lines 4-6]." (Sharinn Ex. 19, UK '899; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1991 Oakwood Instruction Manual	"coating with a layer of ink" - "Combine some of these

	Cumulative '533 patent	components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1 ¶ 1). Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
9. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said core is heated in step (c)(i) to a temperature in the range of 275.degree. F. to 400.degree. F. and said first period of time is at least five (5) minutes.	UK '610	"temperature in the range of 275.degree. F. to 400.degree. F." – "UK '610 suggested that one skilled in the art would have increased the pressure after increasing the temperature (ramped the same up). One skilled in the art would have optimized the specific pressure used in order to achieve a good bond without disrupting the ability of the circuit to operate properly." (Sharinn Ex. 18, UK '610; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1991 Oakwood Instruction Manual	"temperature in the range of 275.degree. F. to 400.degree. F." – unpatentable modification of prior art temperatures ("LAMINATING TEMPERATURE 90 – 200 DEGREES C" (Sharinn Ex. 12, OIM at 6, ¶ 3.3B)).
	Cumulative '533 patent	Cumulative "the application of heat at 265 platen temperature" (Sharinn Ex. 15, '533 patent, col. 4, line 33).
	1987 Oakwood Sales	"said first period of time is at

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	Brochure	least five (5) minutes" - "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram and horizontal axis of
		diagram indicating time in minutes ("Mins") (Sharinn Ex. 11, OSB at 6, see diagram).
12. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein a coating step is carried out on at least one surface of said core utilizing a coating technique selected from the group consisting of silk screen printing, offset printing, letterpress printing, screen printing, roller coating, spray printing, and litho-printing.		"coating technique selected from the group consisting of" – "It would have been within the purview of the ordinary artisan to select suitable printing techniques from those which were readily available to the artisan and the specified printing techniques claimed are taken as conventional in the art of making smart cards." (Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1991 Oakwood Instruction Manual	"coating technique selected from the group consisting of" – "Combine some of these components with customized printed core and overlay materials" (Sharinn Ex. 12, OIM at 1, ¶ 1).
	Cumulative '533 patent	Cumulative "The backside of the substrate also has printed information thereon formed by a conventional offset lithography process, for example." (Sharinn Ex. 15, '533 patent, col. 3, lines 60-63).
15. A process as recited in claim 1 comprising the further step of inserting a second electronic element into said cavity, the second electronic element being in electrical communication with		"inserting a second electronic element into said cavity, the second electronic element being in electrical communication with the at least one electronic element" – "Templeton taught one would

the at least one electronic element.		have provided an electrical contact in the cavity formed by milling." (Sharinn Ex. 13, '201 patent; Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	'201 patent	"inserting a second electronic element into said cavity, the second electronic element being in electrical communication with the at least one electronic element" – "electrically conductive plugs 205 inserted into contact holes 203b" (Sharinn Ex. 13, '201 patent, col. 7, lines 45-59, and Figs. 2J, 2K and 2L, items 203b and 205).
16. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic element is a micro-chip and an associated circuit board antenna or an associated wire antenna.		"micro-chip and an associated circuit board antenna or an associated wire antenna" – "[O]ne skilled in the art would have understood what kind of chips would have been useful for the manufacture of cards." (Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Series 6 Brochure	"micro-chip and an associated circuit board antenna or an associated wire antenna" – Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F.

17. The process for incorporating at least one electronic element in the manufacture of a plastic card as recited in claim 1, wherein said at least one electronic element is a read/write integrated chip and an associated antenna.		"read/write integrated chip and an associated antenna" — "[O]ne skilled in the art would have understood what kind of chips would have been useful for the manufacture of cards." (Sharinn Ex. 7, Office Action mailed 12/6/00, see OCS_C_045446-58).
	1987 Oakwood Series 6 Brochure	"read/write integrated chip and an associated antenna" — Sharinn Ex. 10, OS6B at 4, see text under heading "Machine Reading Applications".
	Cumulative JP '214	Cumulative Sharinn Ex. 6 and Ex. 24, JP '214, reference numerals 11 and 12, Figs. 1-3.
	Cumulative '201 patent	Cumulative Sharinn Ex. 13, '201 patent, reference numerals 201 and 202, Figs. 2A-2F and col. 1, lines 50-51 ("Smart Cards are used with a reader/writer that includes an interface ('external interface') that is used to transmit information to or from the Smart Card.").
19. The process according to claim 1, wherein said core is heated in step (c)(ii).	1987 Oakwood Sales Brochure	"Core is heated in step (c)(ii)" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
20. A process for incorporating at least one electronic element in the manufacture of a plastic card, comprising the steps of:	JP '214	"electronic element" — "Japanese Patent '214 taught a process for forming a smart card which included the steps of laminating with heat and pressure an assembly which included an IC chip 11 and a thin coil 12 (an antenna)." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office

		Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Series 6 Brochure	"electronic element" – inductive codings or microchip (Sharinn Ex. 10, OS6B at 4, see illustration and text under heading "Machine Reading Applications").
(a) providing first and second plastic core sheets;	JP '214	"first and second plastic core sheets" – "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Series 6 Brochure	"first and second plastic core sheets" - second opaque plastic layer and substrate beneath inductive codings (Sharinn Ex. 10, OS6B at 4, see illustration).
(b) positioning said at least one electronic element in the absence of a non-electronic carrier directly between said first and second plastic core sheets to form a core, said plastic core sheets defining a pair of inner and outer surfaces of said core;	JP '214	"positioning" – "The IC chip 11 and antenna 12 were disposed unsupported between plastic films 14." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
sarjaces of same core,	1987 Oakwood Series 6 Brochure	"positioning" – inductive codings are illustrated as being positioned between second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"in the absence of a non- electronic carrier" – inductive codings are illustrated with no protection (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series	"directly" – inductive codings

	6 Brochure	are in immediate physical contact with second opaque plastic layer and substrate (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"core" – second opaque plastic layer, inductive codings and substrate form the "core" (Sharinn Ex. 10, OS6B at 4, see illustration).
	1987 Oakwood Series 6 Brochure	"a pair of inner and outer surfaces of said core" – outside surface of second opaque plastic layer and outside surface of substrate are illustrated (Sharinn Ex. 10, OS6B at 4, see illustration).
(c) positioning said core in a laminator apparatus, and subjecting said core to a heat and pressure cycle, said heat and pressure cycle comprising the steps of:	JP '214	"positioning said core in a laminator apparatus" – "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Series 6 Brochure	"positioning said core in a laminator apparatus" – second opaque plastic layer, inductive codings and substrate can be positioned in the Series 6 laminator: "Many of the more sophisticated cards are made possible due only to the flexibility of the heat and pressure system which is a major feature of the Series 6 Laminators." (Sharinn Ex. 10, OS6B at 3, 4 see illustration).
	1987 Oakwood Series	"heat and pressure cycle" –

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	6 Brochure	"[h]eat and pressure are applied" to second opaque plastic layer, inductive codings and substrate (Sharinn Ex. 10, OS6B at 3).
(i) heating said core for a first period of time;	JP '214	"heating said core for a first period of time" – "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Sales Brochure	"heating said core for a first period of time" – "P.V.C. Temp." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(ii) applying a first pressure to said core for a second period of time such that said at least one electronic element is encapsulated by said core:	JP '214	"applying a first pressure for a second period of time"- "The assembly was disposed in a press and heat and pressure were applied in order to laminate the layers together to form the smart card." (Sharinn Ex. 6 and Ex. 24, JP '214; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Sales Brochure	"applying a first pressure for a second period of time" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
(iii) cooling said core while applying a second pressure to said core, the second pressure being at least 10% greater than the first pressure.	UK '610 UK '283	"cooling while applying a second pressure" — "Subsequent to the application of this heat and pressure, the pressure was maintained while

the card was allowed to cool in the press, see page 11, line 16p. 12, line 12. The reference made clear that in order to avoid damaging the integrated circuit which was being encapsulated that one would have heated the assembly, then applied heat and pressure to the assembly in the press and then cooled the assembly while pressure was maintained. Clearly, one viewing the same would have understood the heat and pressure as well as cooling under pressure would have been performed when laminating the card with the integrated circuit therein." (Sharinn Ex. 18, UK '610; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS C 045482-91); "While it is believed that the reference to UK '610 suggested that one would have ramped up the pressure during the laminating operation, to further evidence that the highest amount of pressure would have been applied when the assembly was cooled, the reference to UK '283 is cited. UK '283 is manufacturing an integrated circuit card where the assembled layers (which included thin plastic layers which had printing on the layers as well as in integrated circuit therein) were laminated together in a press. The reference taught that the press would have been preheated, the pressure applied and then the assembly removed or the assembly would have been

		preheated and the pressure applied in steps with the highest pressure applied while the assembly was being cooled in the press, see page 11, lines 3-13." (Sharrin Ex. 20, UK '283; Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	1987 Oakwood Sales Brochure	"cooling while applying a second pressure" – "P.V.C. Temp." and "P.V.C. Press." curves of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
	1987 Oakwood Sales Brochure	"said second pressure being at least 10% greater than said first pressure" – "P.V.C. Press." curve of the "Typical Lamination Cycles" diagram (Sharinn Ex. 11, OSB at 6, see diagram).
21. The process according to claims 20, further comprising: forming a cavity in said core.		"forming a cavity in said core" – Examiner repeated argument from previous Office Action: "Regarding claim[21] see the discussion on paper no. 5 for the formation of cards." (Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	'201 patent	"forming a cavity in said core" —"forming holes through the main body of the card, the holes extending between the respective electrical contacts of the devices." (Sharinn Ex. 13, '201 patent, col. 2, lines 27-30); "The contact holes 203b and cavity hole 203a can be formed by, for instance, milling." (Sharinn Ex. 13, '201

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		patent, col. 7, lines 10-16).
22. The process according to claim 21, wherein the step of forming a cavity in said core comprises: after step (c), milling a region of said core to a controlled depth so as to form a cavity which exposes at least one contact pad of said at least one electronic element.		"milling a region of said core to a controlled depth to form a cavity which exposes one contact pad of one electronic device" – Examiner repeated argument from previous Office Action: "Regarding claim[22] see the discussion on paper no. 5 for the formation of cards." (Sharinn Ex. 7, Office Action mailed 5/8/02, see OCS_C_045482-91).
	'201 patent	"milling a region of said core to a controlled depth to form a cavity which exposes one contact pad of one electronic device" – "electrical interconnection has been made by forming holes through the main body of the card, the holes extending between the respective electrical contacts of the devices." (Sharinn Ex. 13, '201 patent, col. 2, lines 27-30); "The contact holes 203b and cavity hole 203a can be formed by, for instance, milling." (Sharinn Ex. 13, '201 patent, col. 7, lines 10-16).
23. The process according to claim 22, further comprising: inserting a second electronic element into said cavity, the second electronic element being in electrical communication with the at least one electronic element.	'201 patent	"inserting a second electronic element into said cavity, the second electronic element being in electrical communication with the at least one electronic element" – "electrically conductive plugs 205 inserted into contact holes 203b" (Sharinn Ex. 13, '201 patent, col. 7, lines 45-59, and Figs. 2J, 2K and 2L, items 203b and 205).